PT03 Rec'd PCT/PT0 13 DEC 2004

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTTORNEY'S DOCKET NUMBER
28944/40139
U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

CONCERNING A SUBMISSIO	74 OHDER 33 0.3.0. 37 1	111/51/8/5							
INTERNATIONAL APPLICATION NO. PCT/FR03/01818	INTERNATIONAL FILING DATE 16 June 2003	PRIORITY DATE CLAIMED 17 June 2002							
TITLE OF INVENTION Lithium Cell Battery									
APPLICANT(S) FOR DO/EO/US Lucas Sannier, Sylvie Grugeon, Stephane Lascaud, Jean-Marie Tarascon									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. This is a SECOND or SUBSEQUENT so	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.								
3. This is an express request to begin nation (5), (6), (9) and (21) indicated below.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. The US has been elected (Article 31).	The US has been elected (Article 31).								
5. A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
a. 🗹 is attached hereto (required	a. is attached hereto (required only if not communicated by the International Bureau).								
b. has been communicated by	b.  has been communicated by the International Bureau.								
c. is not required, as the applic	c. is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. An English language translation of the	e International Application as filed (35 U.S.0	C. 371(c)(2)).							
a. is attached hereto.	a. is attached hereto.								
b. has been previously submit	b. has been previously submitted under 35 U.S.C. 154(d)(4).								
7. Amendments to the claims of the Inte	rnational Application under PCT Article 19	(35 U.S.C. 371(c)(3))							
a. are attached hereto (requir									
b. have been communicated	by the International Bureau.								
c. have not been made; howe	c. have not been made; however, the time limit for making such amendments has NOT expired.								
d. have not been made and v	d. have not been made and will not be made.								
8. An English language translation of th	e amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 371(c)(3)).							
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Items 11 to 20 below concern document(s	) or information included:								
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.								
12. An assignment document for recording	ng. A separate cover sheet in compliance w	ith 37 CFR 3.28 and 3.31 is included.							
13. A preliminary amendment.									
14. An Application Data Sheet under 37 (	An Application Data Sheet under 37 CFR 1.76.								
15. A substitute specification.									
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.								
17. A computer-readable form of the sequ	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.								
18. A second copy of the published Interr	A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19. A second copy of the English language	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20. Other items or information: return p	Other items or information: return postcard receipt								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 12-2004)
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ICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL ASSUMPTIONAL ASSUMPTIO

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER					
PCT/FR03/01818				28944/40139					
21. The follow	ng fees are subr	hitte 7	7						
a) Basic national fee\$300.00							\$	300.00	
b) Examin	ation fee					. \$200.00	\$	200.00	
c) Search	fee					.\$500.00	\$	500.00	
	TOTAL OF AB	OVE CAL	CULATIONS	<b>:</b> =		\$1000.00	\$	1000.00	
Additional f	ee for specificati	on and dra	wings filed i	n paper over 100 sheets (e	excludia	na sequence			
	emputer program 50 sheets of pape			ronic medium). The fee is	\$250 to	or each			•
	1					RATE	ŀ		
Total Sheets	Extra sheets			of each additional 50 or fraction (round <b>up</b> to a whole number)					
21 - 100 =	0	/50 =				x \$250.00	_	^ ^ ^	
21			0				\$	0.00	
	<b>30.00</b> for furnishi date (37 CFR 1.4		h or declara	tion later than 30 months f	rom the	e earliest	\$	0.00	•
CLAIMS	NUN	ABER FILE	D	NUMBER EXTRA		RATE	\$		<u> </u>
Total claims	;	26	- 20 =	6	х	\$50.00	\$	300.00	
Independent cla	ims	2	- 3 =	0	×	\$200.00	\$	0.00	
MULTIPLE DEP	ENDENT CLAIM	1(S) (if app	licable)		+	\$360.00	\$	0.00	
				TOTAL OF ABOVE	CALC	ULATIONS =	\$	1300.00	
	claims small entit	ty status. S	ee 37 CFR	1.27. The fees indicated al	bove ar	e reduced	\$		
Dy 72.	by ½.						\$	1300.00	······································
Processing fee	of \$130.00 for fur	nishing the	e English tra	nslation later than 30 mon	ths from	n the earliest		1000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						+	\$		
TOTAL NATIONAL FEE =					\$ 1300.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					ccompanied +	\$			
				TOTAL F	EES E	NCLOSED =	\$	1300.00	
					An	nount to be	\$		
							·	unded: nount to be	
								arged:	\$
a. A che	ck in the amount	of \$ 1,3	300.00	to cover the abo	ove fee	s is enclosed.			
				in the amount of	¢	to co	wari	the shove feet	
b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 50-1903. A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed									
and granted to restore the International Application to pending status.									
SEND ALL COF	RRESPONDENC	E TO:				/1 ///.	///_	$\mathcal{L}$	
McCracken & Frank LLP							<del></del>		
200 W. Adams, Suite 2150 SIGNATURÉ Chicago, IL 60606 I William Fr.					1 -	111			
Chicago, IL 60606  Tel.: (312) 263-4700  J. William Frank, III  NAME						111			
Fax: (312) 2	263-3990				,	25,626			
Customer N	o. 29471	Date: _		, 2004		REGISTRATION	A NC	IUMBER	
							J. 1		

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sannier et al.	)
Serial No.:	)
Filed: Herewith	)
Based Upon Int'l Appl. PCT/FR03/01818	)
Int'l. Filing Date: 16 June 2003	)
For: Lithium Cell Battery	)
	)
	,

## **CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that the following papers and fees are being deposited with the United States Postal Service on December 13, 2004, in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV612939803US.

- 1) Transmittal Letter to The United States Designated/Elected Office (in duplicate);
- 2) Verified Translation of PCT/FR03/01818;
- 3) Preliminary Amendment
- 4) A copy of the published international application with search report;
- 5) Check; \$1,300.00

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